

<p>Non-Executive Report of the:</p> <p>Standards (Advisory) Committee</p> <p>22 September 2016</p>	
<p>Report of: Melanie Clay, Corporate Director for Law Probity and Governance</p>	<p>Classification: Unrestricted</p>
<p>Code of Conduct for Members – Complaints and Investigation Monitoring</p>	

<p>Originating Officer(s)</p>	<p>Mark Norman, Deputy Monitoring Officer</p>
<p>Wards affected</p>	<p>N/A</p>

Summary

Appendix 1 to this report updates the Advisory Committee on the quarterly monitoring information for complaints and investigations relating to alleged breaches Council's Code of Conduct for Members.

Recommendations:

The Standards (Advisory) Committee is recommended to:

1. Note the complaints and investigation monitoring information contained in Appendix 1 to this report.

1. REASONS FOR THE DECISIONS

1.1 N/A

2. ALTERNATIVE OPTIONS

2.1 N/A

3. BACKGROUND

3.1 The Council's arrangements for dealing with complaints of breach of the Code of Conduct for Members provide for the Monitoring Officer to report quarterly (or less frequently if there are no complaints to report) to the Advisory Committee on the number and nature of complaints received and action taken as a result.

3.2 The arrangements as revised by full Council on 18 September 2013, also provide that in cases where the Monitoring Officer has extended the time period of investigations into complaints from two months to three months, s/he provide a report on the reasons to the Advisory Committee for noting.

3.3 Monitoring information was last reported to the Advisory Committee in March 2016. At that time all complaints had been dealt with and closed with the exception of one matter which had been subject to external investigation. The outcome of that complaint (05/2015) and new complaints received during since 1 January 2016 are detailed in Appendix 1.

4. COMMENTS OF THE CHIEF FINANCIAL OFFICER

4.1 There are no immediate financial implications arising out of this report.

5. LEGAL SERVICES COMMENTS

5.1 This report has been prepared by the Deputy Monitoring Officer and incorporates legal comments.

6. RISK MANAGEMENT IMPLICATIONS

6.1 The provision of quarterly reports relating to the number and nature of complaints assists the Advisory Committee in exercising its oversight role in terms of promoting and maintaining high standards of conduct.

7. ONE TOWER HAMLETS CONSIDERATIONS

7.1 There are no specific anti poverty or equal opportunity implications arising out of this report.

8. SUSTAINABLE ACTION FOR A GREENER ENVIRONMENT

8.1 This report has no immediate implications for the Council's policy of sustainable action for a greener environment.

9. CRIME AND DISORDER REDUCTION IMPLICATIONS

9.1 There are no specific crime and disorder reduction implications arising out of this report.

10. EFFICIENCY STATEMENT

10.1 This report is not concerned with proposed expenditure, the use of resources or reviewing/changing service delivery and an efficiency statement is not therefore required.

Linked Reports, Appendices and Background Documents

Linked Report

None

Appendices

Appendix 1 – Complaints and investigation monitoring information

Local Government Act, 1972 Section 100D (as amended)

List of “Background Papers” used in the preparation of this report

List any background documents not already in the public domain including officer contact information.

- Standards (Advisory) Committee files

Officer contact details for documents:

- Melanie Clay/Mark Norman 020 7364 4800

Appendix 1 – Complaints and investigation monitoring information

Complaint reference number	Date complaint received by Monitoring Officer	Complainant	Alleged breach(es) of the Code of Conduct	Outcome of consultation with IP	Date investigation commenced and investigation status	Current Position
05/2015	11/09/2015	Member of the public.	Failure to treat with respect.	Complaint referred for independent investigation.	Investigation commenced on 14 December 2015.	Complaint closed. Investigation & Disciplinary Sub-Committee (IDSC) agreed no further action on 27 April 2016.
01/2016	21 January 2016	Councillor	Failure to treat with respect.	Attempt local resolution	N/A	Complaint closed local resolution agreed and reported to IDSC on 28 July 2016.
02/2016	23 March 2016	Member of the public.	Failure to treat with respect.	Attempt local resolution	N/A	Local resolution complete outcome to be reported to IDSC (date to be agreed).
03/2016	14 March 2016	Member of the public	Failure to treat with respect and disrepute.	No investigation required	N/A	Awaiting decision of IDSC (date to be agreed).
04/2016	19 May 2016	Councillor	Failure to treat with respect, bullying and intimidation.	No investigation required	N/A	Complaint closed. IDSC agreed no further action on 28 July 2016.